

1957-50PCT

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/622583

INTERNATIONAL APPLICATION NO.
PCT/FR99/00386

INTERNATIONAL FILING DATE
19 FEBRUARY 1999

PRIORITY DATE CLAIMED
20 FEBRUARY 1998 (EARLIEST)

TITLE OF INVENTION

METHOD FOR SELECTING TUMOURS EXPRESSING HLA-G WHICH ARE SENSITIVE TO ANTICANCER TREATMENT, AND USES THEREOF

APPLICANT(S) FOR DO/EO/US

Edgardo D. CAROSELLA, et al.

AUG 21 2000

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ A copy of the International Search Report (PCT/ISA/210).
8. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 18 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
A **SECOND** or **SUBSEQUENT** preliminary amendment.
16. ☐ A substitute specification.
17. ☐ A change of power of attorney and/or address letter.
18. ☐ Certificate of Mailing by Express Mail
19. ☒ Other items or information:

Request for Consideration of Documents Cited in International Search Report

Notice of Priority

PCT/IB/304

PCT/IB/308

Drawings (12 Sheets)

CALCULATIONS PTO USE ONLY

Page 2 of 2

DOCKET NO. 195707US02

IN RE APPLICATION OF: Edgardo D. CAROSELLA, et al.

SERIAL NO.: NEW U.S. PCT APPLICATION(based on PCT/FR99/00386)

FILED: HEREWITH

FOR: METHOD FOR SELECTING TUMOURS EXPRESSING HLA-G WHICH ARE SENSITIVE TO ANTICANCER TREATMENT, AND USES THEREOF

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☒ No additional fee is required.
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 has been established by a verified statement previously submitted.
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 has been established by a verified statement submitted herewith.
- ☒ Additional documents filed herewith: PCT Transmittal Letter/English translation of Specification Preliminary Amendment/Request for Consideration/Notice of Priority/Drawings (12 Sheets) PCT/IB/308/Check for \$1,516.00/PCT/IB/304/International Search Report

The fee has been calculated as shown below.

The fee has been calculated as shown below:

(Col. 1)		(Col. 2)		(Col. 3)	SMALL ENTITY		OTHER THAN A SMALL ENTITY			
	CLAIMS REMAINING AFTER		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE	ADDITIONAL FEE		RATE	ADDITIONAL FEE
TOTAL	* 13	MINUS	** 20	= 0		X9 =	\$		X18 =	\$.00
INDEP	* 10	MINUS	*** 10	= 0		X39 =	\$		X78 =	\$.00
<input type="checkbox"/>	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM					+130=	\$		+260=	\$
TOTAL							\$		TOTAL	\$.00

A check in the amount of \$_____ is attached.

XX Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

XX If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

OBLON, SPIVAK, McCLELLAND,
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*If the entry in Column 2 is less than the entry in Column 1 write "0" in Column 3.
**If the "Highest Number Previously paid for" IN THIS SPACE is less than 20 write "20" in this space.
***If the "Highest Number Previously paid for" IN THIS SPACE is less than 3 write "3" in this space.

DOCKET NO.: 199 US0PCT

09/622583

534 Rec PCT/PTO 21 AUG 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Edgardo D. CAROSELLA, et al.

SERIAL NUMBER: NEW U.S. PCT APPLICATION (based on PCT/FR99/00386)

FILED: HEREWITH

FOR: METHOD FOR SELECTING TUMOURS EXPRESSING HLA-G WHICH
ARE SENSITIVE TO ANTICANCER TREATMENT, AND USES
THEREOF

REQUEST FOR CONSIDERATION OF DOCUMENTS
CITED IN INTERNATIONAL SEARCH REPORT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In the matter of the above-identified application for patent, notice is hereby given that applicant(s) request that the Examiner consider the documents cited in the International Search Report according to MPEP §609 and so indicate by a statement in the first Office Action that the information has been considered. When the Form PCT/DO/EO/903 indicates both the search report and copies of the documents are present in the national stage file, there is no requirement for the applicant(s) to submit them (1156 O.G. 91 November 23, 1993).

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



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